

Page 1 of 2 TF

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMIT United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinguis 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/825,628

04/16/2004

Amo J. Bleeker

1857.2870000

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

CONFIRMATION NO. 7865 FORMALITIES LETTER *OC000000013075378*

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

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Alexandria VA 22313-1450

01 FC:1001 02 FC:1051 770.00 OP 130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



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August 11, 2004

WRITER'S DIRECT NUMBER: (202) 772-8645
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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Appl. No. 10/825,628; Filed: April 16, 2004

For: Lithographic Apparatus and Device Manufacturing Method

Inventor:
Our Ref:

Arno J. Bleeker 1857.2870000

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated **June 28, 2004**, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Fee Transmittal (PTO/SB/17);
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventor;
- 4. An original executed Power of Attorney from Assignee;
- 5. Statement Under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
- 6. Credit Card Payment Form (PTO-2038) for \$900.00 to cover: \$770.00 new application filing fee; and \$130.00 surcharge for late filing fee of Declaration; and
- 7. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Commissioner for Patents August 11, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jason D. Eisenberg Attorney for Applicant Registration No. 43,447

JDE:kim Enclosures

297342.1

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under Complete if Known TRANSMITTAL 10/825,628 **Application Number** Filing Date for FY 2004 April 16, 2004 First Named Inventor Arno J. BLEEKER Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** To be assigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1752 (\$) TOTAL AMOUNT OF PAYMENT 900.00 1857.2870000 Attorney Docket No.

METHOD OF DAVMENT (steets all the steets)	FEE CALCULATION (continued)							
METHOD OF PAYMENT (check all that apply)								
Check X Credit card Money Order None	3. ADDITIONAL FEES							
*Charge any deficiencies or credit any overpayments in	Large Entity Small Entity							
Deposit Account: the fees to Deposit Acct. No. 19-0036.	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	F B-14		
Account Number 19-0036	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid \$130.00		
Deposit Account Sterne, Kessler, Goldstein & Fox P.L.L.C.		50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Name		130	1053	130	Non-English specification			
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to	,		
Charge fee(s) indicated below, except for the filling fee	4005	4 0401	4005	4 0 40*	Examiner action			
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340 2002 170 Design filing fee \$770.00	1401	330	2401	165	Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	·		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	·		
	1452	110	2452	55	Petition to revive - unavoidable			
7,0100		1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims 10 - 20 **= 0 X 18 = -0-	1503	640	2503	320	Plant issue fee			
Independent 2 - 3 **= 0 X 86 = -0-	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be			
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	examined (37 CFR 1.129(b)) 801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application			
	Other fee (specify)							
**or number previously paid, if greater; For Reissues, see above	*Red	ced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	130.00		

SUBMITTED BY	SUBMITTED BY				(Complete (if applicable))		
Name (Print/Type)	Jason D. Eisenberg	Registration No. (Attorney/Agent)	43,447	Telephone	(202),371-2600		
Signature	your &			Date	DI U 104		

WARNING: Information on this form may become public. Credit card information should not

be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.